

ISM CODE CERTIFICATION

ISSUE OF INTERIM SAFETY MANAGEMENT CERTIFICATE

INTERIM AUDIT CHECK LIST

Ship	LITTLE CONCERT	Type	Other Cargo Ship
Classification Society	RINA	IMO N°	9958523
Flag	Liberia		
Company and ID	MINERVA DRY INC. - IMO 5879971		
DOC issued on	13/02/2023	Expiry date	19/12/2027
Ship's type	Bulk Carrier / Other Cargo Ship		

N°	CONTENT	YES ¹	NO
1	the Document of Compliance, or the Interim Document of Compliance, is relevant to that ship's type	☒	☐
2	the Safety Management System Manual reviewed by RINA ² and relevant documentation (forms, logbooks, etc.) is available on board	☒	☐
3	the Master Chief Engineer and Senior Officers are familiar with the Safety Management System and the planned arrangements for its implementation	☒	☐
4	instructions which have been identified as essential to be provided prior to sailing have been given; including lifeboat station and responsibilities, fire station responsibilities and making available specific additional reference material associated with safety requirements from the SOLAS training manual such as: - Muster list and stations and individual emergency duties are known - alarm signals are known - allocation and use of lifesaving and firefighting equipment and pollution prevention appliances is known - escape routes are known. - a general fire and lifeboat drill may be required to be carried out	☒	☐
5	plans for Company audit of the ship within three months exist	☒	☐
6	the relevant information on the Safety Management System is given in a working language or languages understood by the ship's personnel	☒	☐
7	When Class and other statutory certificates are not issued by RINA Vessel general examination (safety tour Deck/Engine/Accommodation) carried out	☐	☒
8	Has the Interim Audit carried out remotely? (3)	☐	☒

The Chief Engineer

Margaritis Nikolaos

The Master

Savvas Ntoutsoulis

Lead Auditor signature	Place	Date	Audit report No.
 Huang Qiu Yan	Guangzhou	12/02/2025	2025WS01-605

This checklist is intended as the minimum scope of the Interim SMC audit that can be expanded as deemed necessary by the auditor.

RINA Services S.p.A

¹ Objective evidence of each item is to be detailed using a Form SMSAUD5 (A)

² If copy of the letter stating that the SMS Manual has been satisfactorily reviewed by RINA is not available on board, please contact the Head of the Office that has carried out the SMS document review. RINA Office of competent area where Company (DOC) is issued.

³ In case of remote audit, confirmation that the audit has been carried out in livestreaming is to be included; simple/limited items audited offline, if any, are to be detailed. A statement that audit objectives have been achieved is also to be added.

Form SMSAUD5E- 09/2021